

MAR 04 2009

PTO/SB/21 (02-09)

Approved for use through 03/31/2009. OMB 0851-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

<b>TRANSMITTAL FORM</b>		Application Number	10/567,909
		Filing Date	10/06/2006
		First Named Inventor	Gregor Herth
		Art Unit	4131
		Examiner Name	Kahn, Rachel
(to be used for all correspondence after initial filing)		Attorney Docket Number	7065
Total Number of Pages in This Submission	19		

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation <input checked="" type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Statement Under 3.73(b) Memorandum related to chain of title Assignment from Stockhausen GmbH to ALIP
<input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Remarks	
<input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>		
Firm Name	Ashland Licensing and Intellectual Property LLC	
Signature		
Printed name	David L. Hedden	
Date	March 4, 2009	Reg. No. 29,388

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: 511-213-8300		
Signature		
Typed or printed name	Martha L. Blevins	Date March 4, 2009

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

MAR 04 2009

PTO/SB/81 (01-09)

Approved for use through 11/30/2011. OMB 0651-0035  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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**POWER OF ATTORNEY  
OR  
REVOCATION OF POWER OF ATTORNEY  
WITH A NEW POWER OF ATTORNEY  
AND  
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	10/567,909
Filing Date	10/08/2006
First Named Inventor	Gregor Herth
Title	POWDERY, WATER-SOLUBLE CATION
Art Unit	4131
Examiner Name	Kahn, Rachel
Attorney Docket Number	7065

I hereby revoke all previous powers of attorney given in the above-identified application.

A Power of Attorney is submitted herewith.

OR

I hereby appoint Practitioner(s) associated with the following Customer Number as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith:

67348

OR

I hereby appoint Practitioner(s) named below as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith:

Practitioner(s) Name	Registration Number

Please recognize or change the correspondence address for the above-identified application to:

The address associated with the above-mentioned Customer Number.

OR

The address associated with Customer Number: \_\_\_\_\_

OR

Firm or Individual Name \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_

State \_\_\_\_\_

Zip \_\_\_\_\_

Country \_\_\_\_\_

Telephone \_\_\_\_\_

Email \_\_\_\_\_

I am the:

Applicant/Inventor.

OR

Assignee of record of the entire interest. See 37 CFR 3.71.  
 Statement under 37 CFR 3.73(b) (Form PTO/SB/96) submitted herewith or filed on \_\_\_\_\_

SIGNATURE of Applicant or Assignee of Record

Signature	Vernon F Venne	Date	March 4, 2009
Name	Vernon F. Venne	Telephone	614-790-3975
Title and Company	President, Ashland Licensing and Intellectual Property LLC		

**NOTE:** Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

\*Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

MAR-04-2009 02:29 FROM ASHLAND INC

TO 915712738300 MAR 04 2009 03/19

PTO/SB/98 (02-08)

Approved for use through 03/31/2009. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Ashland Licensing and Intellectual Property LLC

Application No./Patent No.: 10/567,909 Filed/Issue Date: 10/06/2006

Titled: POWDERY, WATER-SOLUBLE CATIONIC POLYMER COMPOSITIONS, METHOD FOR THE PRODUCTION  
AND USE THEREOF

Ashland Licensing and Intellectual Property LLC, a Corporation

(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1.  the assignee of the entire right, title, and interest in;
  2.  an assignee of less than the entire right, title, and interest in  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %); or
  3.  the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made) the patent application/patent identified above, by virtue of either:
- A.  An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy therefore is attached.

OR

- B.  A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: inventors To: Stockhausen GmbH  
The document was recorded in the United States Patent and Trademark Office at  
Reel 018384, Frame 0482, or for which a copy thereof is attached.
2. From: Stockhausen GmbH To: Ashland Licensing and Intellectual Property LLC  
The document was recorded in the United States Patent and Trademark Office at  
Reel 017670, Frame 0643, or for which a copy thereof is attached.  
(Please see attached memorandum related to chain of title.)
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

- Additional documents in the chain of title are listed on a supplemental sheet(s).

- As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

*Vernon F. Venne*

Signature

Vernon F. Venne

Printed or Typed Name

March 4, 2009

Date

President

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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MAR 04 2009

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Gregor Herth, et al.

Serial No.: 10/567,909 Examiner: R. Kahn

Filed: October 6, 2006 Art Unit: 4131

Docket No.: Case 7065

Title: POWDERY WATER-SOLUBLE CATIONIC POLYMER COMPOSITIONS,  
METHODS FOR THE PRODUCTION AND USE THEREOF

**MEMORANDUM RELATED TO CHAIN OF TITLE**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed are Form PTO/SB/81(01-09) revoking the current Power of Attorney and executing a new Power of Attorney, and Form PTO/SB/96(01-09), which is a Statement Under 37 C.F.R. § 3.73 (b) related to the chain of title for the subject application.

The Statement indicates that the inventors assigned their rights in the application to Stockhausen GmbH and that the assignment was recorded under Reel 01834, Frame 0428. The Statement also indicates that Stockhausen GmbH assigned its rights to the subject application to Ashland Licensing and Intellectual Property LLC according to an assignment recorded under Reel Number 017870, Frame Number 0643. When he was completing PTO/SB/96(01-09), the undersigned attempted to confirm this on the U.S. Patent and Trademark Office website and by calling a representative of the Patent Office, but could not do so.

The undersigned believes that the U.S. Patent and Trademark Office records do not identify the reel and frame number related to the assignment of the subject patent application because the subject U.S. patent application had not yet been filed. It was not filed until October

-2-

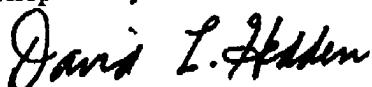
6, 2006, several months after the assignment (copy enclosed) was executed (May 31, 2006) and recorded (July 31, 2006). Therefore, at the time the assignment was executed, only the serial numbers for the German counterpart application (DE 103 37 764) and the PCT counterpart application (PCT/EP2004/005810) of the subject U.S. patent application were known. (See first group of documents listed on page 1 of Exhibit A which is attached to the assignment.)

Nevertheless, it is clear from the assignment that Stockhausen GmbH assigned the subject patent application to Ashland Licensing and Intellectual Property LLC because the information on the face of the published U.S. patent application and in the file history clearly show that the subject patent application is a national patent application based upon PCT/EP2004/005810.

In view of the facts, it is clear that Ashland Licensing and Intellectual Property LLC owns the subject patent application. Nevertheless, if there is any concern about the chain of title of the subject patent application, the undersigned requests the U.S. Patent and Trademark Office to re-record the assignment in connection with the subject patent application so a reel number and frame number are associated with the assignment of the subject patent application.

Although the undersigned does not believe there are any fees due in connection with this action, the undersigned authorizes the Commissioner of Patents and Trademarks to charge any fees due may be due in connection with this action.

Respectfully submitted,



David L. Hedden

Attorney for Ashland Inc.

Registration No. 29,388

Ashland Licensing and Intellectual Property LLC

5200 Blazer Parkway

Dublin, Ohio 43017

Phone: (614) 790-4265

Fax: (614) 790-4268

E-mail: dlhedden@ashland.com

Customer Number

**67348**

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## ASSIGNMENT

MAR 04 2009

FOR GOOD AND VALUABLE CONSIDERATIONS, Stockhausen Gm bH, a limited liability company incorporated under German law, whose business address is Bäkerpfad 25, 47805 Krefeld, Germany, (hereinafter "ASSIGNOR") does hereby sell, assign and transfer unto Ashland Licensing and Intellectual Property LLC, a limited liability company organized under the laws of the State of Delaware, U.S.A., whose business address is 5200 Blazer Parkway, Dublin, Ohio 43017, U.S.A., (hereinafter "ASSIGNEE"), its successors and assigns, the entire right, title and interest in and to the inventions as described and claimed in the patents and patent applications shown on the attached Exhibit A, together with all patents resulting therefrom, reissues, reexaminations and extensions thereof, and in and to all causes of action, claims and demands or other rights for or arising from any infringements, including past infringements, by any third party of said invention.

AND ASSIGNEE HEREBY HEREBY ACCEPTS THE ASSIGNMENT AND TRANSFER. AND ASSIGNOR HEREBY AUTHORIZES AND REQUESTS the Patent Officials to issue any and all Letters Patent resulting from any of the aforesaid applications to said ASSIGNEE.

WITNESS my hand and seal this 31<sup>st</sup> day of <sup>May</sup> June, 2006.

## STOCKHAUSEN GMBH

By: AM  
Name: Dr. Angela Müller  
Title: Senior Counsel

## ASHLAND LICENSING AND INTELLECTUAL PROPERTY LLC

By: Thomas F. Davis  
Name: Thomas F. Davis  
Title: Secretary

Roll of Deed No. G 306/2006

On this 31<sup>st</sup> day of May, 2006, Dr. Angela Müller and Thomas F. Davis personally appeared and executed the foregoing Assignment before me and acknowledged that they executed the same, of their own free will and for the purposes set forth with authority to do so.

Notary Public

pec\05d6990

**EXHIBIT A**

<b>Country</b>	<b>Patent Application Number</b>	<b>Published Application Number</b>	<b>Patent Number</b>	<b>Expiration Date</b>
Germany	DE 103 37 764	DE 103 37 764	DE 103 37 764	8/14/2023
PCT	PCT/EP2004/005810	WO 2005/023885		
Germany	DE 103 37 763	DE 103 37 763	DE 103 37 763	8/14/2023
PCT	PCT/EP2004/005807	WO 2005/023884		
Germany	DE 103 16 476	DE 103 16 476	DE 103 16 476	4/9/2023
PCT	PCT/EP2004/003610	WO 2004/090050		
EP	EP 04 725 912			4/28/2024
United States	US 10/552,512			4/28/2024
Germany	DE 103 15 376	DE 103 15 376	DE 103 15 376	4/3/2023
PCT	PCT/EP2004/003611			
United States	US 11/042,511	US 2005/0239165		
EP	EP 02 025 327	EP 1 443 371	EP 1 443 371	11/13/2022
United States	US 10/703,502	US 2004/0173539		
Germany	DE 102 40 797	DE 102 40 797	DE 102 40 797	8/30/2022
PCT	PCT/EP03/08428			
Russia	RU 2005 109 152			
United States	US 10/518,595			
EP	EP 03 755 548	EP 1 539 845		
Germany	DE 101 51 187.6	DE 101 51 187.6	DE 101 51 187	10/19/2021
China	CN 02820577.4	CN 1571798		
Australia	AU 2002 337096			
Mexico	PA/A/2004/003662			
Brazil	PI 0213418-7			
United States	US 10/492,633	US 2004/0260017 A1		
Russia	RU 2004 115 335			
Canada	CA 2,463,723			
EP	EP 02 772 304	EP 1 444 269		
Germany	DE 101 38 029	DE 101 38 029	DE 101 38 029	8/8/2021
EP	EP 02 760 308	EP 1 417 375		

**EXHIBIT A**  
(continued)

Country	Patent Application Number	Published Application Number	Patent Number	Expiration Date
Germany	DE 101 20 555	DE 101 20 555	DE 101 20 555	4/26/2021
EP	EP 02 737 997	EP 1 385 973		
Australia	AU 2002 312853			
Bulgaria	BG 108289			
Brazil	PI 0209253-0			
Belarus	A20031101			
China	02808903.0	1507494		
Japan	JP 2002-585652	2004-528037		
S. Korea	KR 2003-7014042			
Mexico	PA/A/2003/009754	PA/A/2003/009754		
Russia	RU 2003 133 140			
United States	US 10/475,965	US 2005/0064564		
S. Africa	ZA 2003/8320		ZA 2003/8320	4/25/2022
Germany	DE 101 20 550		DE 101 20 550	4/26/2021
EP	EP 02 730 207	EP 1 385 972		
Australia	AU 2002 302574			
Bulgaria	BG 108291			
Brazil	PI 0209237-9			
Belarus	A20031099			
China	02808904.9	131597		
Japan	JP 2002-585653	2004-524047		
S. Korea	KR 2003-7014043			
Mexico	PA/A/2003/009751	PA/A/2003/009751		
Russia	RU 2003 133 139			
United States	US 10/475,100			
S. Africa	ZA 2003/8319		ZA 2003/8319	4/25/2022
Germany	DE 101 20 546		DE 101 20 546	4/26/2021
EP	EP 02 740 512	EP 1 385 974		
Australia	AU 2002 315322			
Bulgaria	BG 108290			
Brazil	PI 0209257-3			
Belarus	A20031100			
China	02808905.7	1612933		
Japan	JP 2002-585651	2005-507643		
S. Korea	KR 2003-7014041			
Mexico	PA/A/2003/009752	PA/A/2003/009752		

**EXHIBIT A**  
(continued)

Country	Patent Application Number	Published Application Number	Patent Number	Expiration Date
Russia	RU 2003 133 138			
United States	US 10/475,969			
S. Africa	ZA 2003/8318		ZA 2003/8318	4/25/2022
Germany	DE 101 19 685	DE 101 19 685	DE 101 19 685	4/20/2021
EP	EP 02 730 121	EP 1 383 934		
Australia	AU 2002 302510			
Brazil	PI 0209024-4			
Canada	CA 2,444,080	CA 2,444,080		
China	02812076.0	1516747		
India	01621/DELNP/2003			
Russia	RU 2003 132 542			
Ukraine	UA 2003 111 0439			
United States	US 10/475,264	US 2004/0131521		
Venezuela	VE 2002-000683			
Germany	DE 101 13 294		DE 101 13 294	3/16/2021
EP	EP 02716717	EP 1381568		
Australia	AU 2002 247677			
Brazil	PI 0208044-3			
China	CN 02806592.1	1520382		
India	1455/CHENP/2003			
Ukraine	UA 2003 109 325			
United States	US 10/469,983	US 2004-0131539		
Venezuela	2002-000407			
Germany	DE 100 61 483	DE 100 61 483	DE 100 61 483	12/8/2020
EP	EP 01 990 467	EP 1 353 975	EP 1 353 975	12/1/2021
Argentina	P010105710			
Chile	2979/2001			
Malaysia	PI 20015585			
Australia	AU 2002 229585	2958502	granted	12/1/2021
Brazil	PI 0116006-0	PI 0116006-0		
Canada	CA 2,427,713	CA 2,427,713		
China	01820252.7	1479762	ZL 01820252.7	12/1/2021
Indonesia	W-00200301117	ID 036.902		
Japan	JP 2002-548007	2004-536157		
S. Korea	KR 10-2003-7007649			
Mexico	PA/A/2003/005104			
Norway	2003 2617	2003 2617		

**EXHIBIT A**  
(continued)

Country	Patent Application Number	Published Application Number	Patent Number	Expiration Date
New Zealand	NZ 526 739			
Poland	P-362 248	P-362 248		
United States	US 10/432,624	US 2004-0034145		
S. Africa	ZA 2003/3358		ZA 2003/3358	12/1/2021
Taiwan	TW 90129900		TW 591060	12/4/2021
Germany	DE 100 41 393.5	DE 100 41 393 A1	DE 100 41 393	8/23/2020
EP	EP 01 974 135.4	EP 1 313 775		
Brazil	PI 0113422-1	PI 0113422-1		
Norway	NO 2003 0824	NO 2003 0824		
United States	US 10/344,695	US-2003-0176547-A1	US 6,861,469	8/8/2021
Russia	RU 2003 107 571			
Germany	DE 100 41 392	DE 100 41 392	DE 100 41 392	8/23/2020
EP	EP 01 951 544	EP 1 313 774		
Russia	RU 2003 107 567			
United States	US 10/344,694		US 6,740,720	6/1/2021
Germany	DE 100 29 517.7		DE 100 29 517	6/21/2020
EP	EP 01 936 421	EP 1 299 345		
United States	US 10/311,600	US 2004/0092764	US 6,979,748	6/1/2021
United States	US 10/982,890	US 2005/0085660		
Germany	DE 102 28 628.0	DE 102 28 628	DE 102 28 628	6/26/2022
EP	EP 03 732 574	EP 1 519 969		
Australia	AU 2003 238504	AU 2003 238504		
Brazil	PI0312046-5	PI0312046-5		
Canada	CA 2,491,516	CA 2,491,516		
China	CN 03815173.1			
India	2908/CHENP/2004			
Mexico	PA/a/2004/012500			
Norway	NO 20050372	NO 20050372		
Poland	P-374 340			
Russia	RU 2004 139 019			
United States	US 10/517,614			
S. Africa	ZA 2004/10361			
Germany	DE 103 33 478	DE 103 33 478	DE 103 33 478	7/22/2023
PCT	PCT/EP2004/005803	WO 2005/019122		

**EXHIBIT A**  
(continued)

Country	Patent Application Number	Published Application Number	Patent Number	Expiration Date
Germany	DE 103 36 534	DE 103 36 534	DE 103 36 534	8/5/2023
PCT	PCT/EP2004/005806	WO 2005/023719		
EP				
Germany	DE 103 50 411	DE 103 50 411	DE 103 50 411	10/28/2023
PCT	PCT/EP2004/013422			
United States	US 11/226,196			
Germany			DE 199 59 826	11/29/2020
EP	EP 00 993 298	EP 1 252 388	DE 500 06 708-2-08	11/29/2020
Canada	CA 2,394,173	CA 2,394,173		
Austria	EP 00 993 298	EP 1 252 388	AT E 268 406	11/29/2020
Finland	EP 00 993 298	EP 1 252 388	EP 1 252 388	11/29/2020
France	EP 00 993 298	EP 1 252 388	EP 1 252 388	11/29/2020
Netherlands	EP 00 993 298	EP 1 252 388	EP 1 252 388	11/29/2020
Sweden	EP 00 993 298	EP 1 252 388	EP 1 252 388	11/29/2020
Norway	NO 2002 2676	NO 2002 2676		
United States	US 10/148,210	US 2004-0011482		
Germany	DE 199 41 072	DE 199 41 072	DE 199 41 072	8/30/2019
Austria	EP 00 958 360	EP 1 228 100	EP 1 228 100	8/2/2020
Spain	EP 00 958 360	EP 1 228 100	EP 1 228 100	8/2/2020
Germany	EP 00 958 360	EP 1 228 100	DE 500 10 775-0-08	8/2/2020
Finland	EP 00 958 360	EP 1 228 100	EP 1 228 100	8/2/2020
France	EP 00 958 360	EP 1 228 100	EP 1 228 100	8/2/2020
Great Britain	EP 00 958 360	EP 1 228 100	EP 1 228 100	8/2/2020
Italy	EP 00 958 360	EP 1 228 100	EP 1 228 100	8/2/2020
Netherlands	EP 00 958 360	EP 1 228 100	EP 1 228 100	8/2/2020
Brazil	PI 0013578-0	PI 0013578-0		
Belarus	A 2002 0262			
Canada	CA 2,382,851	CA 2,382,851		
China	CN 00812219.9		granted	8/2/2020
Czech Republic	CZ 713-2002			
India	IN 02/00141/MUM			
Japan	JP 2001/520744	2003-508556		
Russia	RU 2002 106 750		RU 2245 3-49	8/2/2020

**EXHIBIT A**  
(continued)

Country	Patent Application Number	Published Application Number	Patent Number	Expiration Date
Turkey	TR 2002/00512		TR 2002 00512	8/2/2020
Ukraine	UA 2002 032399		73524	8/2/2020
United States	US 10/069,721			
S. Africa	ZA 2002/0890	ZA 2002/0890		
Germany	DE 199 38 574	DE 199 38 574	DE 199 38 574	8/17/2019
Germany	EP 00 953 140	EP 1 227 867	EP 1 227 867	8/2/2020
Finland	EP 00 953 140	EP 1 227 867	EP 1 227 867	
France	EP 00 953 140	EP 1 227 867	EP 1 227 867	
Great Britain	EP 00 953 140	EP 1 227 867	EP 1 227 867	
Italy	EP 00 953 140	EP 1 227 867	EP 1 227 867	
Turkey	EP 00 953 140	EP 1 227 867	EP 1 227 867	
Brazil	PI0013402-3	PI0013402-3		
Russia	RU 2002 106 745		RU 2 250 795	8/2/2020
United States	US 10/048,984		US 6,716,273	8/2/2020
Germany	DE 199 00 187		DE 199 00 187	1/6/2019
Austria	EP 99 965 466	EP 1 060 136	AT E255069	12/15/2019
Belgium	EP 99 965 466	EP 1 060 136	EP 1 060 136	12/15/2019
Switzerland	EP 99 965 466	EP 1 060 136	EP 1 060 136	12/15/2019
Germany	EP 99 965 466	EP 1 060 136	DE 599 07 868,5-08	12/15/2019
Denmark	EP 99 965 466	EP 1 060 136	EP 1 060 136	12/15/2019
Spain	EP 99 965 466	EP 1 060 136	ES 2153812	12/15/2019
Finland	EP 99 965 466	EP 1 060 136	EP 1 060 136	12/15/2019
France	EP 99 965 466	EP 1 060 136	EP 1 060 136	12/15/2019
Great Britain	EP 99 965 466	EP 1 060 136	EP 1 060 136	12/15/2019
Italy	EP 99 965 466	EP 1 060 136	EP 1 060 136	12/15/2019
Netherlands	EP 99 965 466	EP 1 060 136	EP 1 060 136	12/15/2019
Portugal	EP 99 965 466	EP 1 060 136	EP 1 060 136	12/15/2019
Sweden	EP 99 965 466	EP 1 060 136	EP 1 060 136	12/15/2019
Argentina	AR P000100017			
Australia	AU 2000 020973		AU 746900	12/15/2019
Brazil	PI9908559-3	PI9908559-3		
Canada	CA 2,334,934	CA 2,334,934	CA 2,334,934	12/15/2019
China	CN 99803744.3	CN 1292769	CN 1174928	12/15/2019
Czech Republic	CZ PV3236-2000		CZ 292 463	12/15/2019
Hong Kong	HK 01104285.5	HK 1035360	HK 1035360	12/15/2019

**EXHIBIT A**  
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Country	Patent Application Number	Published Application Number	Patent Number	Expiration Date
Hungary	HU P0105045	HU P0105045		
Indonesia	W-20001666		ID 0 008 229	12/15/2019
Israel	IL 137338		IL 137338	12/15/2019
Japan	JP 2000-592230	2002-534253	JP 3581659	12/15/2019
Mexico	MX 8496		MX 224692	12/15/2019
Norway	NO 20004421	NO 20004421	320279	12/15/2019
Poland	PL P-342770	PL P-342770		
Russia	RU 2000125558		RU 2 195 436	12/15/2019
Singapore	SG 200004613-6		SG 75319	12/15/2019
Slovakia	SK 1099-2000		SK 284032	12/15/2019
Turkey	TR 2000/02569		TR 2000 02569	12/15/2019
United States	US 09/623,557		US 6,514,418	12/15/2019
S. Africa	ZA 2000/4284		ZA 2000/4284	12/15/2019
Germany			DE 198 59 054	
EP	EP 99 963 552	EP 1 144 316	DE 599 02 892.0	12/16/2019
Germany			DE 198 32 241	7/15/2019
EP	EP 99 938 266	EP 1 102 894	DE 599 03 194.8	7/15/2019
Germany			DE 197 48 153	9/29/2018
EP	EP 98 952 664	EP 1 025 133	DE 598 07 763.4	9/29/2018
United States	US 09/529,406		US 6,410,610	9/29/2018
Russia	EA 2000 00356		EA 002137	9/29/2018
Germany	DE 197 04 054	DE 197 04 054	DE 197 04 054	2/4/2017
Germany	EP 98 904 108	EP 0 961 851	DE 598 06 864	1/21/2018
Germany			DE 195 32 229	8/21/2016
Switzerland	EP 96 113 359	EP 0 761 701	EP 0 761 701	8/21/2016
Germany	EP 96 113 359	EP 0 761 701	DE 596 03 547 -0-08	8/21/2016
Spain	EP 96 113 359	EP 0 761 701	EP 0 761 701	8/21/2016
France	EP 96 113 359	EP 0 761 701	EP 0 761 701	8/21/2016
Great Britain	EP 96 113 359	EP 0 761 701	EP 0 761 701	8/21/2016
Italy	EP 96 113 359	EP 0 761 701	EP 0 761 701	8/21/2016
Netherlands	EP 96 113 359	EP 0 761 701	EP 0 761 701	8/21/2016
Sweden	EP 96 113 359	EP 0 761 701	EP 0 761 701	8/21/2016
Germany	DE 195 25 481	DE 195 25 481	DE 195 25 481	7/13/2015

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**EXHIBIT A  
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<b>Country</b>	<b>Patent Application Number</b>	<b>Published Application Number</b>	<b>Patent Number</b>	<b>Expiration Date</b>
Germany			DE 195 19 268	5/22/2016
EP	EP 96 916 148	EP 0 828 889	DE 596 02 807 -5-08	5/22/2016
Austria	EP 96 916 148	EP 0 828 889	EP 0 828 889	5/22/2016
Belgium	EP 96 916 148	EP 0 828 889	EP 0 828 889	5/22/2016
Switzerland	EP 96 916 148	EP 0 828 889	EP 0 828 889	5/22/2016
Denmark	EP 96 916 148	EP 0 828 889	EP 0 828 889	5/22/2016
Spain	EP 96 916 148	EP 0 828 889	EP 0 828 889	5/22/2016
Finland	EP 96 916 148	EP 0 828 889	EP 0 828 889	5/22/2016
France	EP 96 916 148	EP 0 828 889	EP 0 828 889	5/22/2016
Great Britain	EP 96 916 148	EP 0 828 889	EP 0 828 889	5/22/2016
Italy	EP 96 916 148	EP 0 828 889	EP 0 828 889	5/22/2016
Netherlands	EP 96 916 148	EP 0 828 889	EP 0 828 889	5/22/2016
Portugal	EP 96 916 148	EP 0 828 889	EP 0 828 889	5/22/2016
Sweden	EP 96 916 148	EP 0 828 889	EP 0 828 889	5/22/2016
Indonesia	ID P-961430	P-961430	ID 0 005 835	5/29/2012
Australia	AU 1996 059009		AU 702067	5/22/2016
Canada	CA 2,219,068	CA 2,219,068	CA 2,219,068	5/22/2016
Czech Republic	PV 3670-97		CZ 294 869	5/22/2016
Mexico	MX 978879		MX 203015	5/22/2016
Norway	P975431		NO 309989	5/22/2016
Poland	P-323 637		PL 181946	5/22/2016
Slovakia	PV 1605-97	160597	284745	5/22/2016
Taiwan	TW 85 105 734		TW 136270	5/15/2016
United States	US 08/647,656	US 5,863,385	US 5,863,385	5/22/2016
Venezuela	VE 0911-96			
S. Africa	ZA 96/3996		ZA 96/3996	5/22/2016
Germany			DE 44 02 547	1/19/2015
EP	EP 95 907 568	EP 0 741 602	DE 595 02 893 -4-08	1/19/2015
Austria	EP 95 907 568	EP 0 741 602	EP 0 741 602	1/19/2015
France	EP 95 907 568	EP 0 741 602	EP 0 741 602	1/19/2015
Netherlands	EP 95 907 568	EP 0 741 602	EP 0 741 602	1/19/2015
Germany			DE 44 01 951	1/13/2015
EP	EP 91 100 419	EP 0 664 302	DE 595 03 555 -8-08	1/13/2015
Austria	EP 91 100 419	EP 0 664 302	E 171194	1/13/2015
Spain	EP 91 100 419	EP 0 664 302	EP 0 664 302	1/13/2015

**EXHIBIT A**  
(continued)

Country	Patent Application Number	Published Application Number	Patent Number	Expiration Date
France	EP 91 100 419	EP 0 664 302	EP 0 664 302	1/13/2015
Great Britain	EP 91 100 419	EP 0 664 302	EP 0 664 302	1/13/2015
Italy	EP 91 100 419	EP 0 664 302	EP 0 664 302	1/13/2015
Germany			DE 43 40 665	11/29/2014
EP	EP 95 900 770	EP 0731 776	DE 594 06 238. 1-08	11/29/2014
Austria	EP 95 900 770	EP 0731 776	EP 0731 776	11/29/2014
Belgium	EP 95 900 770	EP 0731 776	EP 0731 776	11/29/2014
Switzerland	EP 95 900 770	EP 0731 776	EP 0731 776	11/29/2014
Denmark	EP 95 900 770	EP 0731 776	EP 0731 776	11/29/2014
Spain	EP 95 900 770	EP 0731 776	EP 0731 776	11/29/2014
France	EP 95 900 770	EP 0731 776	EP 0731 776	11/29/2014
Great Britain	EP 95 900 770	EP 0731 776	EP 0731 776	11/29/2014
Italy	EP 95 900 770	EP 0731 776	EP 0731 776	11/29/2014
Netherlands	EP 95 900 770	EP 0731 776	EP 0731 776	11/29/2014
Portugal	EP 95 900 770	EP 0731 776	EP 0731 776	11/29/2014
Sweden	EP 95 900 770	EP 0731 776	EP 0731 776	11/29/2014
Canada	CA 2,173,951		CA 2,173,951	11/29/2014
Finland	FI 962252		FI 113739 B	11/29/2014
Norway	NO 962185		NO 305745	11/29/2014
United States	US 08/352,097		US 5,656,177	11/30/2014
United States	US 09/148,152			
S. Africa	ZA 94/9540		ZA 94/9540	11/30/2014
Germany			DE 43 35 567	10/14/2014
EP	EP 94 929 470	EP 0 674 678	DE 594 07 927	10/14/2014
Switzerland	EP 94 929 470	EP 0 674 678	EP 0 674 678	10/14/2014
Spain	EP 94 929 470	EP 0 674 678	ES 2130450	10/14/2014
France	EP 94 929 470	EP 0 674 678	EP 0 674 678	10/14/2014
Great Britain	EP 94 929 470	EP 0 674 678	EP 0 674 678	10/14/2014
Italy	EP 94 929 470	EP 0 674 678	EP 0 674 678	10/14/2014
Canada	CA 2,152,064	CA 2,152,064		
Russia	RU 95 117 944		RU 2177010	10/14/2014
United States	US 411,668		US 5,541,252	10/14/2014
Germany			DE 43 16 200	5/4/2014
EP	EP 94 106 935	EP 0 624 617	DE 594 07 030 -9-08	5/4/2014
Switzerland	EP 94 106 935	EP 0 624 617	EP 0 624 617	5/4/2014
Spain	EP 94 106 935	EP 0 624 617	EP 0 624 617	5/4/2014
France	EP 94 106 935	EP 0 624 617	EP 0 624 617	5/4/2014

**EXHIBIT A**  
(continued)

Country	Patent Application Number	Published Application Number	Patent Number	Expiration Date
Great Britain	EP 94 106 935	EP 0 624 617	EP 0 624 617	5/4/2014
Italy	EP 94 106 935	EP 0 624 617	EP 0 624 617	5/4/2014
Russia	RU 94 016 390		RU 2 157 382	5/13/2014
United States	US 241,577		US 5,480,934	5/12/2014
			DE 42 16 167	5/8/2013
Germany			DE 593 09 700-0-08	5/8/2013
EP	EP 93 107 525	EP 0 573 793	EP 0 573 793	5/8/2013
Switzerland	EP 93 107 525	EP 0 573 793	EP 0 573 793	5/8/2013
Spain	EP 93 107 525	EP 0 573 793	EP 0 573 793	5/8/2013
France	EP 93 107 525	EP 0 573 793	EP 0 573 793	5/8/2013
Great Britain	EP 93 107 525	EP 0 573 793	EP 0 573 793	5/8/2013
Italy	EP 93 107 525	EP 0 573 793	EP 0 573 793	5/8/2013
Canada	CA 2,096,471		CA 2,096,471	11/19/2013
Japan	JP 114523	6088030	3378606	5/17/2013
Russia	RU 93005008		RU 2092504	5/18/2013
United States	US 059,966		US 5,403,883	5/13/2013
			DE 41 41 981	12/9/2012
Germany			DE 592 09 225-9-08	12/9/2012
EP	EP 92 120 979	EP 0 547 492	EP 0 547 492	12/9/2012
Austria	EP 92 120 979	EP 0 547 492	EP 0 547 492	12/9/2012
Switzerland	EP 92 120 979	EP 0 547 492	EP 0 547 492	12/9/2012
France	EP 92 120 979	EP 0 547 492	EP 0 547 492	12/9/2012
Great Britain	EP 92 120 979	EP 0 547 492	EP 0 547 492	12/9/2012
Netherlands	EP 92 120 979	EP 0 547 492	EP 0 547 492	12/9/2012
			DE 40 04 9 53	2/15/2011
Germany			DE 591 01 881-0-08	2/15/2011
EP	EP 91 903 795	EP 0 516 656	EP 0 516 656	2/15/2011
Austria	EP 91 903 795	EP 0 516 656	EP 0 516 656	2/15/2011
Spain	EP 91 903 795	EP 0 516 656	EP 0 516 656	2/15/2011
France	EP 91 903 795	EP 0 516 656	EP 0 516 656	2/15/2011
Great Britain	EP 91 903 795	EP 0 516 656	EP 0 516 656	2/15/2011
Italy	EP 91 903 795	EP 0 516 656	EP 0 516 656	2/15/2011
Netherlands	EP 91 903 795	EP 0 516 656	EP 0 516 656	2/15/2011
Sweden	EP 91 903 795	EP 0 516 656	EP 0 516 656	2/15/2011
Canada	CA 2,075,925		CA 2,075,925	2/15/2011
Finland	FI 923675		FI 97895	2/15/2011
Norway	NO 92.3237	NO 179.454	NO 179.454	2/15/2011
United States	US 917,014	US 5,241,011	US 5,241,011	2/15/2011

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(continued)

Country	Patent Application Number	Published Application Number	Patent Number	Expiration Date
Germany			DE 39 05 049	2/13/2010
EP	EP 90 102 772	EP 0 384 268	DE 590 05 979.3-08	2/13/2010
Austria	EP 90 102 772	EP 0 384 268	EP 0 384 268	2/13/2010
Belgium	EP 90 102 772	EP 0 384 268	EP 0 384 268	2/13/2010
Switzerland	EP 90 102 772	EP 0 384 268	EP 0 384 268	2/13/2010
Denmark	EP 90 102 772	EP 0 384 268	EP 0 384 268	2/13/2010
Spain	EP 90 102 772	EP 0 384 268	EP 0 384 268	2/13/2010
France	EP 90 102 772	EP 0 384 268	EP 0 384 268	2/13/2010
Great Britain	EP 90 102 772	EP 0 384 268	EP 0 384 268	2/13/2010
Italy	EP 90 102 772	EP 0 384 268	EP 0 384 268	2/13/2010
Netherlands	EP 90 102 772	EP 0 384 268	EP 0 384 268	2/13/2010
Sweden	EP 90 102 772	EP 0 384 268	EP 0 384 268	2/13/2010
Finland	FI 900 785		FI 100117	2/16/2010
Japan	JP 36 425/90		JP 2,854,072	2/19/2010
United States	US 861,156		US 5,292,404	2/13/2010
Germany			DE 35 44 909	12/12/2006
EP	EP 86 117 354	EP 0 228 637	P 36 71 900.5 -08	12/12/2006
Austria	EP 86 117 354	EP 0 228 637	EP 0 228 63 7	12/12/2006
Belgium	EP 86 117 354	EP 0 228 637	BE 0 228 63 7	12/12/2006
Switzerland	EP 86 117 354	EP 0 228 637	EP 0 228 63 7	12/12/2006
France	EP 86 117 354	EP 0 228 637	EP 0 228 63 7	12/12/2006
Great Britain	EP 86 117 354	EP 0 228 637	EP 0 228 63 7	12/12/2006
Italy	EP 86 117 354	EP 0 228 637	EP 0 228 63 7	12/12/2006
Luxembourg	EP 86 117 354	EP 0 228 637	EP 0 228 63 7	12/12/2006
Netherlands	EP 86 117 354	EP 0 228 637	EP 0 228 63 7	12/12/2006
Sweden	EP 86 117 354	EP 0 228 637	EP 0 228 63 7	12/12/2006
Canada	CA 525,473		CA 1 286 448	12/16/2006
United States	US 08/120,707		US 5,512,646	12/16/2006
United States	US 08/863,999		US 6,228,964	12/16/2006
Germany	DE 10 2004 013 750			
PCT	PCT/EP2005/002358			
Germany	DE 10 2004 017 034			
PCT	PCT/EP2005/002093			
Jamaica	JM 18/1/4362			
Germany	DE 10 2005 012 824			

EXHIBIT A  
(continued)

Country	Patent Application Number	Published Application Number	Patent Number	Expiration Date
Germany	DE 10 2004 063 793			
PCT	PCT/EP2005/012760			
Germany	DE 10 2004 063 791			
PCT	PCT/EP2005/012759			
Germany	DE 10 2004 041 848			
PCT	PCT/EP2005/008639			
Germany	DE 10 2005 016 401			

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Fee note in accordance with §§ 141, 154 KostO

1/4 Fee § 45	€ 130,00
Fee § 58.1	€ 30,00
Fee § 59.	<u>€ 30,00</u>
	€ 190,00
16 % Value added tax	<u>€ 30,40</u>
<b>Amount</b>	<b>€ 220,40</b>
	=====

Frankfurt/Main, 31 May 2006

Dr. Peter Gamon  
 Notar



**APOSTILLE**

(Convention de la Haye du 5 octobre 1961)

1. Land: Bundesrepublik Deutschland  
 Diese öffentliche Urkunde
2. ist unterschrieben von Dr. Peter Gamon
3. in seiner Eigenschaft als amtlich bestellter Notar
4. sie ist versehen mit dem Siegel/Stempel des(dein) Notars

**Bestätigt**

- |   |                  |
|---|------------------|
| 5. in Frankfurt/Main                            | 6. am 14.06.06   |
| 7. durch den Herrn Präsidenten des Landgerichts |                  |
| 8. unter Nr. 91 Ea A 5437                       |                  |
| 9. Siegel/Stempel                               | 10. Unterschrift |

*I.V. Franke*  
 I.V. Franke

